

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 501.30598CC3

First Inventor or Application Identifier Hiroshi MORIOKA, et al

Title See 1 in Addendum

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 94]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 34]
4. Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/535,577

Prior application information: Examiner V. McGraw Group / Art Unit: 2505

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Address

City

State

Zip Code

Country

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Fax

Name (Print/Type)

Melvin Krafs

Registration No. (Attorney/Agent)

22,466

Signature

Melvin Krafs

Date

March 14, 2001

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Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. METHOD AND APPARATUS FOR ANALYZING THE STATE OF GENERATION OF FOREIGN PARTICLES IN SEMICONDUCTOR FABRICATION PROCESS

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$870.00)		Application Number	
		Filing Date	March 14, 2001
		First Named Inventor	Hiroshi MORIOKA, et al
		Examiner Name	
		Group / Art Unit	
		Attorney Docket No.	501.30598CC3

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 01-2135 Deposit Account Name: <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">0.00</td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td style="text-align: right;">0.00</td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td style="text-align: right;">0.00</td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td style="text-align: right;">0.00</td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td style="text-align: right;">0.00</td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td style="text-align: right;">0.00</td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td style="text-align: right;">0.00</td></tr> <tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td style="text-align: right;">0.00</td></tr> <tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td style="text-align: right;">0.00</td></tr> <tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td style="text-align: right;">0.00</td></tr> <tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td style="text-align: right;">0.00</td></tr> <tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td style="text-align: right;">0.00</td></tr> <tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td style="text-align: right;">0.00</td></tr> <tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td style="text-align: right;">0.00</td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td style="text-align: right;">0.00</td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td style="text-align: right;">0.00</td></tr> <tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td style="text-align: right;">0.00</td></tr> <tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td style="text-align: right;">0.00</td></tr> <tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td style="text-align: right;">0.00</td></tr> <tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td style="text-align: right;">0.00</td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">0.00</td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td style="text-align: right;">0.00</td></tr> <tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td style="text-align: right;">0.00</td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">0.00</td></tr> <tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td style="text-align: right;">0.00</td></tr> <tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td style="text-align: right;">0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td style="text-align: right;">0.00</td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td style="text-align: right;">0.00</td></tr> </tbody> </table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105 130	205 65	Surcharge - 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2. EXTRA CLAIM FEES Total Claims: 11 - 20** = 0 x 18 = 0 Independent Claims: 5 - 3** = 2 x 80 = 160 Multiple Dependent: = 0 **or number previously paid, if greater; For Reissues, see below	2. SUBTOTAL (2) (\$ 160.00)																																																																																																																				
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) Melvin Krays	Registration No (Attorney/Agent) 22,466	Telephone 703-312-6600	Date March 14, 2001
Signature 			

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